| | Titles | Rank | Approval Authority | Office of Record |
|---|---|-------|--------------------|---------------------|
| Administrative Appointments SMG | SMG Administrative Appointments | | | Human Resources |
| cial oint nts | Chancellor Chair | | PEVC | APO |
| Special Appoint ments | Presidential Chair | | PEVC | APO |
| · | Deans | | Chancellor | APO |
| | Acting Dean | | Chancellor | APO |
| ive or | Associate Deans | | Dean | APO |
| Administrative Appointments Non SMG | Administrative Deans | | Dean | APO |
| inis oint on S | Divisional Deans | | Dean | APO |
| App N | Departmental Chairs | | Dean* | APO |
| | Vice Chairs | | Dean | APO |
| | Director, Associate Director, Faculty Assistant to the Dean | | Dean | APO |
| | Tenured Senate (IR, IR/OR & IR/CE splits) | Full | PEVC | APO |
| | Tenured Senate (IR, IR/OR & IR/CE splits) | Assoc | PEVC | APO |
| | Non-Tenured Senate (Steps IV, V, VI) (IR, IR/OR & IR/CE splits) | Asst | VPAP | APO |
| | Non-Tenured Senate (Steps I, II, III) (IR, IR/OR & IR/CE splits) | Asst | Dean | APO |
| <u>a</u> | Acting Title (Steps III, IV, V, VI) (IR, IR/OR & IR/CE splits) | Asst | VPAP | APO |
| ξ O Σ | Acting Title (Steps I, II) (IR, IR/OR & IR/CE splits) | Asst | Dean | APO |
| Ladder Rank Faculty (Including HCOMP) | Professor Emeritus WOS | | Chancellor | APO |
| dder Faci ling | Pre-Retirement Recall MOUs (All TCs) (IR, IR/OR & IR/CE splits) | | VPAP | APO |
| Lac | Professor Emeritus Recall | | Dean | Dean |
| (I) | Reappointments of Assistant Professors (Including Acting I, II & III) | Asst | PEVC | APO |
| | Non-Reappointment of Assistant Professors | Asst | Chancellor | APO |
| | Professor of the Graduate Division | | PEVC | APO |
| | Professional Researcher (Academic Year Appointments for Ladder Rank) | | Dean | Dean |
| | Instructor - HCOMP | | Dean | Dean |
| | Tenured/Non-Senate | Full | Dean | Dean |
| AES te) | Tenured/Non-Senate | Assoc | Dean | Dean |
| the in / ena ES) | NonTenured/Non-Senate (Steps IV, V, VI) | Asst | Dean | Dean |
| Specialist in the E/Agronomist in AES Series (Non Senate) (Agron in AES) | NonTenured/Non-Senate (Steps I, II, III) | Asst | Dean | Dean |
| cialit onor (No on i | Acting Title (Steps I-VI) | Asst | Dean | Dean |
| Spec Agrc ies Agr | Acting Agronomist in the AES (Steps I-IX) | | Dean | Dean |
| Specialist in the CE/Agronomist in AES Series (Non Senate) (Agron in AES) | Acting Agronomist in the AES (Steps I-VI) | Asst | Dean | Dean |
| | Acting Agronomist in the AES (Steps I-V) | Assoc | Dean | Dean |

| | Titles | Rank | Approval Authority | Office of Record |
|--|--|-------|--------------------|---------------------|
| Academic Coordinators & Academic Administrators | Academic Administrators | All | Dean | Dean |
| Acac Coord & Aca Admini | Academic Coordinators | AII | Dean | Dean |
| | NonTenured Senate Professor In Residence | Full | PEVC | Dean |
| PIR | NonTenured Senate Professor In Residence | Assoc | PEVC | Dean |
| ₾. | NonTenured Senate Professor In Residence IV and above | Asst | VPAP | Dean |
| | NonTenured Senate Professor In Residence I, II, III | Asst | Dean | Dean |
| | With Salary | Full | Dean | APO |
| sor | With Salary | Assoc | Dean | APO |
| Adjunct Professor | Steps I - VI | Asst | Dean | APO |
| A Pro | Instructor | | Dean | Dean |
| | Without Salary - all ranks and steps | | Dean | APO |
| > | (w/out salary) | Full | Dean | Dean |
| Voluntary Clinical Prof | (w/out salary) | Assoc | Dean | Dean |
| » O | (w/out salary) | Asst | Dean | Dean |
| onal | Any Step | Full | Dean | Dean |
| Professional Researchers | Any Step | Assoc | Dean | Dean |
| Pr | Steps I - VI | Asst | Dean | Dean |
| s or | Health Sciences Clinical Professor | Full | Dean | Dean |
| Health Sciences Clinical Professor Series | Health Sciences Clinical Professor | Assoc | Dean | Dean |
| Heg Sciel Clin rofe Ser | Health Sciences Clinical Professor | Asst | Dean | Dean |
| 0) - <u>C</u> | Health Sciences Clinical Instructor | | Dean | Dean |
| Professor of Clinical X Series (Non-Tenured Senate) | Professor of Clinical (e.g., <i>Medicine</i>) | Full | PEVC | APO |
| | Professor of Clinical (e.g., Medicine) | Assoc | PEVC | APO |
| | Professor of Clinical IV and above (e.g., Medicine) | Asst | VPAP | |
| Prc | Senate Professor of Clinical I, II, III (e.g., Medicine) | Asst | Dean | APO |
| | Postdoctoral Scholar (5-year limit) | | Dean | Dean |
| cto | Postdoctoral Fellow (5-year limit) | | Dean | Dean |
| Postdoctoral Scholars | Postdoctoral-Paid Direct (5-year limit) | | Dean | Dean |
| S S | Postdoctoral-Scholar-Reappointments | | Dean** | Department |

| | Titles | Rank | Approval Authority | Office of Record |
|--|---|---------|--------------------|---------------------|
| | Professor | Full | Dean | Dean |
| φ | Professor | Assoc | Dean | Dean |
| Visiting Titles | Professor | Asst | Dean | Dean |
| . bu | Professional Researcher | Full | Dean | Dean |
| /isit | Professional Researcher | Assoc | Dean | Dean |
| | Professional Researcher | Asst | Dean | Dean |
| Φ | Any Step | Full | Dean | APO |
| Cooperative Extension (CE) Specialists | Any Step Any Step | Assoc | Dean | APO |
| oope Exter (Cl peci | · | | | |
| റ് | Steps I - VI | Asst | Dean | Dean |
| sts | Specialist | Full | Dean | Dean |
| Specialists | Specialist | Assoc | Dean | Dean |
| bed | Specialist | Asst | Dean | Dean |
| | Specialist | Junior | Dean | Dean |
| ct ists | Project (e.g. Scientist) | Full | Dean | Dean |
| Project Scientists | Project (e.g. Scientist) | Assoc | Dean | Dean |
| P | Project (e.g. Scientist) | Asst | Dean | Dean |
| | Lecturer (Pre-Six) | Pre-Six | Dean | Dean |
| , E | Continuing Lecturer | Cont. | Dean | Dean |
| sulty S SJ | Senior Continuing Lecturer | Senior | Dean | Dean |
| Fac | Supervisor of Teacher Education (Pre-Six) | Pre-Six | Dean | Dean |
| Unit 18 Faculty ecturers and ST | Supervisor of Teacher Education (Continuing appointment) | Cont. | Dean | Dean |
| Unit 18 Faculty - Lecturers and STEs | Supervisor of Teacher Education (Senior Continuing appointment) | Senior | Dean | Dean |
| 7 | Miscellaneous Part-Time (TC: 1650) | N/A | Dean** | Dean |
| of E) | Lecturer with PSOE | | VPAP | APO |
| sors hing urers 'SOE | Sr. Lecturer with PSOE | | VPAP | APO |
| Professors of Teaching (Lecturers SOE/PSOE) | Lecturer with SOE | | PEVC | APO |
| Prc (I | Sr. Lecturer with SOE | | PEVC | APO |

| | Titles | Rank | Approval Authority | Office of Record |
|-------------------------------------|--|-------|-----------------------|---------------------|
| | Librarian | Full | UL** | Library |
| | Librarian | Assoc | UL** | Library |
| ary | Librarian | Asst | UL** | Library |
| Library | Temp Part-Time Librarian | | UL** | Library |
| | Curator (Assistant/Associate/Full) | ALL | UL** | Library |
| | Assistant/Associate University Librarian | | UL** | Library |
| > c | Continuing Education Specialist | | Dean | Dean |
| ersit | CES I, II, III | | Dean | Dean |
| University Extension | Teacher | | Dean | Dean |
| ЭШ | Assistant Teacher | | Dean | Dean |
| r s s | Research Associate & Research Fellow | | Dean | Dean |
| Other Deans Appoint- ments | Associate In AES (Agronomist Series) | | Dean | Dean |
| O P P | Visiting Scholars | | Dean | Dean |
| ŵ | TA - Teaching Assistant | | Chair | Department |
| Litle | GSR - Graduate Student Researcher | | Chair | Department |
| Student Titles | Associate In (Graduate Student Only) | | Dean | Dean |
| | Reader | | Dean** | Department |
| Ó | Teaching Fellow | | Dean** | Department |
| Other | Emeritus Status (Non-Senate) - By exception only | All | Chancellor | APO |
| ₹ | Endowed Chair Appointments | All | PEVC | APO |

Dean* - Department Chair appointments are reviewed by CAP prior to Dean's decision

UL -** VPAP is final authority in cases of significant difference between UL and CAPA-L recommendations. Dean instead of UL if outside Library.

Dean** - Action Delegated to Dean who has redelegated it to Chair

UC's Records Retention Schedule:

https://recordsretention.ucop.edu/

Review Files

| | | Approval | Office of |
|------------------|---|------------|-----------|
| Action | Titles | Authority | Record |
| | Assistant Adjunct Professor (Salaried) | Dean | Dean |
| | Assistant Specialist in the Cooperative Extension (CE) | Dean | Dean |
| <u>s</u> | Assistant Specialist in the CE/Agronomist in AES Series | Dean | Dean |
| Appraisals | Assistant Professor (IR, IR/OR & IR/CE splits) | VPAP | APO |
| pra | Assistant Professor In Residence | VPAP | APO |
| Ą | Health Science Assistant Clinical Professor | Dean | Dean |
| | Assistant Professor of Clinical X | VPAP | APO |
| | Assistant Researcher | Dean | Dean |
| วc ts | Advancement to Professor VI | PEVC | APO |
| Advanc ements | Advancement to Professor Above Scale | Chancellor | APO |
| Ad | Adjunct Professor, CE Specialist & CE/OR to VI or A/S (Salaried) | Dean | APO |
| | Academic Administrator | Dean | Dean |
| | Academic Coordinator | Dean | Dean |
| | Adjunct Professor (Salaried) / Adjunct Professor H COMP Series | Dean | Dean |
| | Specialist in the Cooperative Extension (CE) | Dean | Dean |
| | Specialist in the CE/Agronomist in AES Series | Dean | Dean |
| | Continuing Education Specialist | Dean | Dean |
| | Curator | UL** | Library |
| | Ladder Rank Faculty(including Prof in Residence HCOMP Series-IR, IR/OR & IR/CE st | Chancellor | APO |
| | Assistant Ladder Rank Faculty (Promotion to Tenure - IR, IR/OR & IR/CE splits) | Chancellor | APO |
| SU | Continuing Lecturer | Dean | Dean |
| otio | Senior Continuing Lecturer | Dean | Dean |
| Promotions | Lecturer/Senior Lecturer with SOE or PSOE (100%) | Chancellor | APO |
| ٣ | Librarian Series | UL** | Library |
| | Assistant/Associate University Librarians | UL** | Library |
| | Professional Researcher | Dean | Dean |
| | Health Science Clinical Professor Series | Dean | Dean |
| | Professor of Clinical X Series | Chancellor | APO |
| | Professional Researcher (Academic Year Appointments for Ladder Rank) | Dean | Dean |
| | Project Scientist | Dean | Dean |
| | Specialist | Dean | Dean |
| | Supervisor of Teacher Education (Continuing and Senior Continuing) | Dean | Dean |
| | Voluntary Clinical Professor (WOS) | Dean | Dean |

Review Files

| | | Approval | Office of |
|--|---|---------------|-----------|
| Action | Titles | Authority | Record |
| | Academic Administrator | Dean | Dean |
| | Academic Coordinator | Dean | Dean |
| | Adjunct Professor (Salaried) / Adjunct Professor H COMP Series | Dean | Dean |
| | Adjunct Professor within A/S (Salaried) | Dean | Dean |
| (e) | Specialist in the Cooperative Extension (CE) | Dean | APO |
| cal | Continuing Education Specialist (UNEX) Steps I-VI | Dean | Dean |
| Merits (Including Advancement within Above-Scale) | Specialist in the CE/Agronomist in AES Series | Dean | Dean |
| <u> </u> | Curator | UL** | Library |
| ¥ | Ladder Rank Faculty (all levels including IR, IR/OR & IR/CE splits) | PEVC | *APO |
| i f i i | Continuing Lecturer | Dean | Dean |
| × × | Senior Continuing Lecturer | Dean | Dean |
| Merits ment v | Lecturer/Senior Lecturer with SOE or PSOE (100%) | PEVC | APO |
| E Z | Librarian Series | UL** | Library |
| anc | Assistant/Associate University Librarians | UL** | Library |
| d d | Postdoctoral Scholar | Dean | Dean |
| ٩ | Professional Researcher | Dean | Dean |
| di | Professor In Residence Series/Professor in Residence HCOMP Series | PEVC | APO |
| clu | Health Science Clinical Professor Series | Dean | Dean |
| " | Professor of Clinical X Series | PEVC | APO |
| | Assistant Researcher | Dean | Dean |
| | Project Scientist | Dean | Dean |
| | Specialist | Dean | Dean |
| | Supervisor of Teacher Education (Continuing and Senior Continuing) | Dean | Dean |
| | Academic Administrator | Dean | Dean |
| | Academic Coordinator - cannot defer, must have merit or perf. Eval. | Dean | Dean |
| | Adjunct Professor (Salaried) / Adjunct Professor H COMP Series | Dean | Dean |
| | Assistant Specialist in the CE/Agronomist in AES Series | Dean | Dean |
| | C.E./Agronomist/Professional Researcher (Split-any combination) | Dean | Dean |
| | Continuing Education Specialist (UNEX) | Dean | Dean |
| | Specialist in the Cooperative Extension (CE) | Dean | Dean |
| | Curator | UL** | Library |
| <u> </u> | Ladder Rank Faculty (Associate & Full only) Assts may not defer | Chair or Dean | Dean |
| Deferrals | Continuing Lecturer | Dean | Dean |
| efe | Senior Continuing Lecturer | Dean | Dean |
| | Librarian Series | UL** | Library |
| | Assistant/Associate University Librarians | UL** | Library |
| | Professional Researcher | Dean | Dean |
| | Project Scientist | Dean | Dean |
| | Professor In Residence HCOMP Series | Chair or Dean | Dean |
| | Health Science Clinical Professor HCOMP Series | Chair or Dean | Dean |
| | Professor of Clinical X HCOMP Series | Chair or Dean | Dean |
| | Specialist | Dean | Dean |
| | Supervisor of Teacher Education (Continuing and Senior Continuing) | Dean | Dean |

Review Files

| Action | Titles | Approval Authority | Office of Record |
|---|---|-----------------------|---------------------|
| | Professor of Clinical X HCOMP Series | VPAP | APO |
| Quinquennial and Performance Reviews | Health Science Clinical Professor HCOMP Series | Dean | Dean |
| Quinquennial and irformance Reviev | Adjunct Professor (salaried) | Dean | Dean |
| laia Re | Ladder Rank Faculty (Associate & Full) HCOMP Series | VPAP | APO |
| enr | Professional Researcher | Dean | Dean |
| ngn | Specialist in the Cooperative Extension (CE) | Dean | Dean |
| čuir fori | Specialist in the CE/Agronomist in AES Series | Dean | Dean |
| o o | Professor In Residence HCOMP | VPAP | APO |
| _ | Other Non-Senate appointments (See Non-Senate CALL) | Dean | Dean |
| Career Revie ws | Professor HCOMP Series | PEVC/Chancellor | APO |
| Car Re | Ladder Rank Faculty | PEVC/Chancellor | APO |

Dean* - Action Delegated to Dean but CAP reviews prior to Dean Final **UL**** - VPAP is final authority in cases of significant difference between UL and CAPA-L recommendations.

Other Notes:

Prof In Res Step I-III (TC 1724) – CAP reviews prior to Dean Final Clinical X Step I-III (TC 1455) – CAP reviews prior to Dean Final

UC's Records Retention Schedule:

https://recordsretention.ucop.edu/

| ACADEMI | C LEAVES OF ABSENCE (LOA) | Approval Authority | Office of Record | Copy of Leave to APO/VPAP | Documentation for APO/VPAP |
|---|---|-----------------------|------------------|---------------------------|--|
| | Administrative Leaves - SMG Appts | PEVC/Chancellor | APO | N/A | |
| | Administrative Leaves - non-SMG Appts | VPAP | APO | N/A | 1 |
| Σ | Ladder Rank Faculty - leaves 31 calendar days or more | VPAP | Home Dept | N/A | 1 |
| cine (St | Ladder Rank Faculty or equivalent - leaves 30 calendar days or less (with the exception of leaves with Medical and/or FML designation)* | Dean | Home Dept | No | |
| ents: f Medi | Ladder Rank Faculty - Medical and/or FML 7 calendar days or more | VPAP | Home Dept | N/A | |
| pointr | Professor of Teaching** (100%) - leaves 31 calendar days or more | VPAP | Home Dept | N/A | See UCR Academic LOA Guidelines: https://academicpersonnel.ucr.edu/sites/ |
| iic Api | Professor of Teaching** (100%) - leaves 30 calendar days or less | Dean | Home Dept | No | g/files/rcwecm1261/files/2019- 05/UCR AcademicLeavesGuidelines- |
| Academic Appointments: Senate members and School of Medicine (SOM) | Faculty Members of Health Sciences Compensation Plan (HSCP) & School of Medicine (SOM) Faculty Members including Health Series Clinical and Professor of Clinical X | Dean | Home Dept | No | <u>FINAL-04.2019.pdf</u> |
| ne H | Sabbatical Leaves | Dean | Home Dept | No | |
| Senate | Stop The Clock (STC) (extension of the tenure clock; this is not a leave) | VPAP | APO | N/A | |
| | Active Service Modified Duties (ASMD) (temporary modification of duties; this is not a leave) | VPAP | APO | N/A | |
| | Academic Administrator | Dean | Dean | No | |
| | Academic Coordinator | Dean | Dean | No | 1 |
| | Adjunct Professor | Dean | Dean | No | |
| | Curator | UL | UL | No | |
| nts | Lecturer | Dean | Dean | No | |
| me | Professor of Teaching** (< 100%) | Dean | Dean | No | |
| Se | Librarian | UL | UL | No | |
| ppc | Assistant/Associate University Librarian | UL | UL | No | |
| c A fes | Post Doctoral Scholar | Dean | Dean | No | |
| im or | Professional Researcher | Dean | Dean | No | UPAY & Request Memo |
| ade Se F | Project Scientist | Dean | Dean | No | Of AT & Request Memo |
| Aci | Specialist | Dean | Dean | No | |
| ate Sci | Specialist in the Cooperative Extension (CE) | Dean | Dean | No | |
| Non-Senate Academic Appointments Health Science Professor Series | Specialist in the CE/Agronomist in AES Series | Dean | Dean | No | |
| ۶-۲- Jea | Supervisor of Teacher Education | Dean | Dean | No | |
| δΩ | University Extension Appointments | Dean | Dean | No | |
| | Visiting Titles | Dean | Dean | No |] |
| | HS Clinical Professor Sereis | Dean | Dean | Yes |] |
| | Voluntary Clinical Professor | Dean | Dean | No |] |
| | All Other Non-Senate Academic Appointments | Dean | Dean | No | <u> </u> |

| See: https://academicpersonnel.ucr.edu/conflict-commitment | | | | |
|--|------------|-----|-------------------|---------------------|
| Category I Prior Approvals | PEVC | APO | N/A | |
| Dean's Annual Reports | PEVC | APO | N/A | Online - UC OATS*** |
| Department Chair Annual Reports with CAT I/II Activities | Dean | APO | N/A | |
| Annual Reports with Category I Activities | Dean | APO | N/A | |
| Annual Reports with Category II Activities | Chair | APO | N/A | |
| Annual Reports with No Activities | AVPAP | APO | N/A | |
| SMG Titles with Professorial Appointments | Chancellor | HR | None - HR Process | None - HR Process |

^{*}Leaves up to 7 calendar days are approved by the Department Chair. Refer to Department Chair policy for documentation. Office of Record is the department.

UC's Records Retention Schedule: https://recordsretention.ucop.edu/

^{**}Also known in the UC system as Lecturer with Security of Employment Series

^{***}Additional justification and materials may be required. See link for more information: https://academicpersonnel.ucr.edu/conflict-commitment

APM and Other Delegations

| APM | Title / Subject | Delegated Authority | Office of Record | Notes |
|----------------------------|--|------------------------|---------------------------------|--|
| APM 137 | Non-Reappointment | Chair/Supervisor | Dean | Dean's Office reviews non-reappointment notices before issuance |
| APM 140 | Non-Senate Grievances | APO | Human Resources | APO serves as Grievance Liaison |
| APM 145 | Layoff and Reduction in TIme | Chair/Supervisor | Dean | APO reviews layoff/RIT noticies for policy compliance before issuance |
| APM 150 | Non-Senate Corrective Action and Dismissal | Supervisor/VPAP | Dean | Supervisor or higher issues discipline, VPAP delegated on policy. See: https://fboapps.ucr.edu/delegations/delegate_pdf/delegation_312.pdf |
| APM 410 | APM 410 Exceptions for TA or Teaching Fellow | Grad Dean | Grad Division | |
| APM 420 | APM 420 Exceptions for Reader | Grad Dean or VPAP | Department, Program, or Unit | VPAP for undergraduate student Tutors |
| APM 550, 560, 561 | Moving Expenses | Dean | Dean | See UCR Policy 650-31 |
| ν α α γ | Removal Expenses | Dean | Dean | <u> </u> |

Other Approvals

| ıit 8 | IWC Approvals | AVPAP | APO | Chair/Dean approval & union review all part of process |
|------------|---|--------------|-----|--|
| <u>ب</u> ر | Contract Exceptions on Review Timelines | AER Director | APO | Mutual agreement with union may be needed for certain delays |

For any delegations not listed, please contact the Academic Personnel Office at:

academicpersonnel@ucr.edu

UC's Records Retention Schedule:

https://recordsretention.ucop.edu/

I approve the delegations of authority in the following sections:

| Name | Date Issued |
|----------------------------------|-------------|
| Appointments & Reappointments | 01/20/2022 |
| Review Files | 01/20/2022 |
| Academic Leaves of Absence (LOA) | 01/20/2022 |
| APM and Other Delegations | 01/20/2022 |

| Provost's Signature | _ | Date: |
|------------------------|---|-----------|
| Elychthe Watter | | 1/21/2022 |
| Chancellor's Signature | | Date: |
| Rim MML | | 2/14/22 |